

# REGULATORY APPLICATIONS - FCA, PRA, LLOYD'S, UAE FINANCIAL FREE ZONES (DIFC, ADGM)

*Making regulatory and Lloyd's applications simple*



Navigating the complexities of undertaking a regulatory application can be daunting, costly, and time-consuming. With GreenKite, you don't have to go it alone.

We specialise in providing expert support and guidance throughout the entire application process, whether that's setting up a UK/EU branch, a Lloyd's Coverholder, MGA, or realising your UAE expansion plans – we can ensure you get the necessary authorisation to achieve your growth ambitions.

Our unparalleled expertise in helping firms set up for success, guarantees a straightforward and seamless experience. Whether you require a wholly outsourced approach, targeted support, or advice on your applications/set up material, our flexible approach is tailored to meet your specific needs.

## HOW WE SUPPORT YOU

- **Expertise:** Our team has extensive industry experience, ensuring you receive accurate and up-to-date advice
- **Seamless Process:** We streamline the application process, reducing complexity and saving you time
- **Tailored Solutions:** Our services are customised to fit your business needs, ensuring you get the support you need when you need it
- **Reduced Costs:** By optimising the application process, we help you avoid unnecessary management time, expense and delay
- **Stay Compliant:** We ensure your application meets regulatory requirements, minimising the risk of non-compliance to ensure the application goes in right, first time
- **Proven Track Record:** Our approach increases the likelihood of your application being approved

## SEAMLESSLY NAVIGATING YOUR REGULATORY APPLICATION JOURNEY

Obtaining authorisation from the regulator is a crucial step for any financial firm looking to operate. The regulatory authorisation process is complex and there are rigorous requirements with which you must comply. GreenKite's guidance and support will ensure your application journey is smooth and successful.

Our team of seasoned industry professionals guarantees that your application is thorough, accurate, and perfectly aligned with regulatory requirements. Leveraging our expertise, we streamline the process, proactively identify potential issues, and significantly enhance your chances of success. Trust us to manage the intricate details, so you can concentrate on what you do best – growing your business.

### Comprehensive setup documentation

Gather, review, draft, and submit extensive documentation on your business model, financial stability, risk management frameworks, and compliance structures to support your application.

### Regulatory business plan

Support the development of your firm's regulatory business plan – applying our industry insights to help guide your business model.

### Comprehensive review

Review the application in advance of submission and remediate issues.

### Submission

Submit and manage your application.

### Regulator clarification

Support your firm in resolving any queries from regulators.

### Ongoing support

Once authorised we provide ongoing regulatory support.



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## WHY CHOOSE GREENKITE?

We are of the market, for the market – our multidisciplinary team of insurance experts offer the benefit of their extensive experience in all aspects of delegated authority, compliance and assurance.

Access deep and broad technical expertise, directed by informed strategic advice and delivered with current market best practice in mind. In addition to an extensive library of templates and reports, we can provide a tailored blend of seasoned expertise through an engagement model designed for your specific needs.

Our commitment to truly collaborative consultancy and dedication to excellent client service provides clients with a long term, trusted partner on whom they can rely, to help grow their business and avoid potential pitfalls.

## OUR APPROACH

Accountability matters – we believe in the importance of having a single, senior, dedicated point of contact for every client who is responsible for the overall success of the engagement. Throughout each client relationship, we continually review and reassess resources to ensure we are deploying the appropriate multidisciplinary team that will deliver real value for every client.

Unlike competitors, we do not flood projects with junior technicians, overseen by managers who are often one step removed. We work closely with each client to understand their unique requirements, then strategically apply appropriate technical expertise in the appropriate areas.

This approach delivers actionable, cost-effective solutions to our clients and material improvements to their bottom line.

## WE ARE GREENKITE

GreenKite is an award-winning, B Corp-certified, independent professional services consultancy, operating in the UK insurance market. We deliver practical, sustainable solutions that efficiently support internal change while ensuring future resilience and regulatory compliance.

Our flexible solutions are built around three core pillars and underpinned by our team's extensive market expertise and commitment to meaningful collaboration to ensure the best possible client outcome.



## HOW CAN WE COLLABORATE?

Talk to us about how we can address your challenges.

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