



SMCR

Experts in compliance and assurance



In today's fast-paced and highly regulated business environment, effective governance, accountability, and responsibility are more critical than ever. The Senior Managers and Certification Regime (SMCR) is not just a regulatory requirement; it's a powerful framework designed to elevate your firm's operational excellence and governance standards.

SMCR gives your board the comfort of knowing that your firm is doing it right, ensuring compliance and best practices are at the forefront.

By ensuring that senior managers are fit and proper, SMCR helps build a robust leadership team that can navigate challenges and seize opportunities.

Don't just comply with regulations—use SMCR to transform your firm into a model of excellence.

SMCR FOR YOUR BUSINESS

- **Board Assurance:** Provides confidence in governance and compliance, allowing the board to focus on strategic decision-making
- **Enhanced Governance:** Establishes clear roles and responsibilities, fostering a culture of accountability and transparency
- **Customer-Centric Outcomes:** Enhances client trust and loyalty by delivering exceptional outcomes
- **Operational Efficiency:** Streamlines processes, reduces risks, and improves decision-making for better resource management
- **Reasonable Steps:** Demonstrates a robust Reasonable Steps Framework
- **Practical Training Resources:** Equips your team with the knowledge and skills to excel under the SMCR framework

KEY COMPONENTS

GreenKite offer tailored solutions to help your firm adopt and integrate SMCR seamlessly using our expert advice and support.

Comprehensive review and healthcheck

Assess your firm's current SMCR compliance, identify areas needing improvement and develop and implement a comprehensive SMCR improvement plan.

Fit & Proper assessments

Review Fit & Proper assessments (initial and annual).

Training programs

Tailored training for Boards and senior managers.

Reasonable steps

Guidance on using a Reasonable Steps Framework.

Documentation support

Assist with policies, procedures, and responsibilities maps.

FCA evidence

Ensure compliance with SMCR requirements, including Statements of Responsibilities, fit and proper assessments, reasonable steps, Responsibilities Map, Conduct Rules adherence, and annual certification.



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WHY CHOOSE GREENKITE?

We are of the market, for the market – our multidisciplinary team of insurance experts offer the benefit of their extensive experience in all aspects of delegated authority, compliance and assurance.

Access deep and broad technical expertise, directed by informed strategic advice and delivered with current market best practice in mind. In addition to an extensive library of templates and reports, we can provide a tailored blend of seasoned expertise through an engagement model designed for your specific needs.

Our commitment to truly collaborative consultancy and dedication to excellent client service provides clients with a long term, trusted partner on whom they can rely, to help grow their business and avoid potential pitfalls.

OUR APPROACH

Accountability matters – we believe in the importance of having a single, senior, dedicated point of contact for every client who is responsible for the overall success of the engagement. Throughout each client relationship, we continually review and reassess resources to ensure we are deploying the appropriate multidisciplinary team that will deliver real value for every client.

Unlike competitors, we do not flood projects with junior technicians, overseen by managers who are often one step removed. We work closely with each client to understand their unique requirements, then strategically apply appropriate technical expertise in the appropriate areas.

This approach delivers actionable, cost-effective solutions to our clients and material improvements to their bottom line.

WE ARE GREENKITE

GreenKite is an award-winning, B Corp-certified, independent professional services consultancy, operating in the UK insurance market. We deliver practical, sustainable solutions that efficiently support internal change while ensuring future resilience and regulatory compliance.

Our flexible solutions are built around three core pillars and underpinned by our team's extensive market expertise and commitment to meaningful collaboration to ensure the best possible client outcome.



HOW CAN WE COLLABORATE?

Talk to us about how we can address your challenges.

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